

03/06/02

J1132 U.S. PTO

A

J1132 U.S. PTO  
10/09/325

03/06/02

UTILITY PATENT  
APPLICATION TRANSMITTAL(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney  
Docket No.

991186A

Total Pages

First Named Inventor or Application Identifier

Yasuaki TANAKA et al.

Express Mail Label No.

PAGE 1 OF 3

APPLICATION ELEMENTS FOR:  
METHOD AND DEVICE FOR EXPOSURE CONTROL,  
METHOD AND DEVICE FOR EXPOSURE, AND METHOD  
OF MANUFACTURE OF DEVICEADDRESS TO: Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [149]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [33]
4. ☒ Oath or Declaration Total Pages [2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d) (for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (usable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to Nikon Corporation, Tokyo, Japan [Name, city and country] in the prior Application Serial No. 09/421,331, filed on October 18, 1999 has been recorded at Reel 010438, Frame 0549 on December 7, 1999. **Please publish the assignee data with the application.**
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney  
Docket No.

991186A

First Named Inventor or Application Identifier

Yasuaki TANAKA et al.

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document(s)
- a. Priority of Japanese Patent application no. 9-116255 filed on April 18, 1997, Japanese Patent application no. 9-109748 filed on April 25, 1997 and of Japanese Patent application no. 9-124527 filed on May 14, 1997 are claimed under 35 USC 119. The certified copies have been filed in prior application Serial No. 09/421,331. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. 09/421,331
- a. ☒ Please amend the specification by inserting after the title: --This application is a continuation of prior application Serial No. 09/421,331 filed October 18, 1999; which is a continuation of international application PCT/JP98/01777, filed April 17, 1998.--.
- b. ☐ Cancel in this application original claims - of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$740.00
Total Claims	97 - 20	77	x \$18.00	1386.00
Independent Claims	33 - 3	30	x \$84.00	2520.00
Multiple Dependent Claims			\$280.00	
Basic Filing Fee				\$4926.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$4926.00

UTILITY PATENT  
APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

991186A

First Named Inventor or Application Identifier

Yasuaki TANAKA et al.

PAGE 3 OF 3

☒ A check in the amount of \$ 4926.00 is enclosed to cover the filing fee.

☐ Please charge our Deposit Account No. **01-2340** in the total amount of \$ to cover the filing fee and the \$ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name William L. Brooks

Reg. No. 34,129

Signature

*William L. Brooks*

Date: March 6, 2002